

## Directors & Officers Insurance Proposal Form

| Are you on the Board of a company that is involved in any of the following?   |     |    |
|---|-----|----|
| Mining companies  | Yes | No |
| Pharmaceuticals/biopharma/life sciences                                       | Yes | No |
| Aviation  | Yes | No |
| Professional sports companies or associations                                 | Yes | No |
| Gambling companies, online gaming by referral only                            | Yes | No |
| Oil and gas companies   | Yes | No |
| Cannabis related entities   | Yes | No |
| Cryptocurrency  | Yes | No |
| Pornography   | Yes | No |
| Any risks with a USA listing  | Yes | No |
| Risks with more than 30% USA Assets or revenue                                | Yes | No |
| Healthcare  | Yes | No |
| Leisure and tourism   | Yes | No |
| Property/Real estate and construction<br>(no EPL cover on construction risks) | Yes | No |
| Financial Institution risks   | Yes | No |
| Any risks with a previous claim in the last 5 years                           | Yes | No |
| Risks with assets or revenue over   | Yes | No |
| Employees over 500  | Yes | No |
| Pension Trustee Liability risks   | Yes | No |
| Trade unions/political risks  | Yes | No |
| Retail  | Yes | No |
| Blockchain  | Yes | No |
| Law Firms   | Yes | No |
| Risks listed on any stock exchange  | Yes | No |
| Factoring risks   | Yes | No |

*If you do not sit on the Boards of the following, please proceed and complete this form to purchase your personal directors cover.*

*If you do sit on the Board of one of the listed trades, please email [cap@imalia.com.au](mailto:cap@imalia.com.au) for a personalised quotation.*

## Directors & Officers Insurance Proposal Form

### Personal Information

**First Name:**

**Middle Name:**

**Last Name:**

**Phone Number:**

**Email Address:**

**Address:**

**Number:**

**Street:**

**Suburb:**

**State:**

**Postcode:**

**Country:**

**Coverage:**

**Automatic level of indemnity coverage: AUD \$1,000,000**

**Please list the boards you are currently on:**

## Directors & Officers Insurance Proposal Form

Do you require coverage for any positions held on any Exchange Traded Entity? (An Exchange Traded Entity is an entity whose securities are publicly traded (wholly or in part) on a stock exchange in any jurisdiction.)

**Yes**

**No**

If a similar insurance to that now proposed had been or were now in effect, would any claim which has been made or which is now pending against you for insurance have fallen within the scope of such insurance? (Has there been any incidents or claims against the directors of a company, which would have fallen under this Directors' and Officers' policy?)

**Yes**

**No**

Have you ever been the subject of an investigation by a regulatory body? (A regulatory body pertaining to your profession or to your company such as ASIC, APRA or the Law Society.)

**Yes**

**No**

Have you ever been subject to a disciplinary action or been fined or penalised? (By a regulatory body such as ASIC, APRA or the Law Society in relation to your profession or a company (not parking or speeding fines.)

**Yes**

**No**

Have you ever been banned or prohibited from holding Company Directorships?

**Yes**

**No**

Are you aware, AFTER ENQUIRY, of any circumstances or incident which you have the reason to suppose might afford grounds for any future claim such as would fall within the scope of the proposed insurance? (You are required to actively inquire to your company as to whether there are any outstanding incidents, notifications or claims.)

**Yes**

**No**

**Please Note:** If you have answered **YES** to any of the questions above, this declaration will be submitted to underwriters for consideration.

I declare that the above answers, statements, particulars and additional information are true to the very best of my knowledge and belief. After full enquiry, I also confirm that I have disclosed all information and material facts that may alter the Underwriters' view of the risk, or affect their assessment of the exposures they are covering under the Policy. I understand that all answers, statements, particulars and additional information supplied with this declaration will become part of and form the basis of the Policy.

I also declare that I have read the Imalia Financial Services Guide.

**Signed:**

**Position:**

**Date:**

Save and email this form to **cap@imalia.com.au** to receive your quote.